



REDBRIDGE SECURITIES LLC

BUSINESS CONTINUITY SUMMARY

Redbridge Securities, LLC (“Redbridge”) has developed a Business Continuity Plan to address how the firm will respond to events that significantly disrupt the business. Since the timing and impact of disasters and disruptions is unpredictable, Redbridge will have to be flexible in responding to actual events as they occur. With that in mind, Redbridge is providing you with this information on the business continuity plan.

Contacting Us – If after a significant business disruption, you cannot contact Redbridge as you usually do through the website or mobile application, you should contact Redbridge by email at support@rbsecurities.com or by phone at +1-800-950-5266 or +86-400-707-1292. If you cannot contact us at that number, please contact our clearing firm, Apex Clearing Corporation (“Apex”) at +1-214-765-1001 or by emailing helpdesk@apexclearing.com. Apex can provide instructions on how to obtain prompt access to your account funds and securities, to assist in entering orders, and to process cash or securities transactions. You may also find helpful information about Apex Clearing Corporation’s Business Continuity Plan at <https://www.apexclearing.com/business-continuity-plan/>.

The Business Continuity Plan – The Redbridge plan is to quickly recover and resume business operations after a significant business disruption and respond by safeguarding the employees and property, making a financial and operational assessment, protecting the Redbridge’s books and records, and allowing the customers to transact business. In short, the business continuity plan is designed to permit Redbridge to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

The business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting; and assuring the customers prompt access to their funds and securities if Redbridge is unable to continue the business.

Varying Disruptions – Significant business disruptions can vary in their scope, affecting only Redbridge, a single building housing Redbridge, the business district where Redbridge is located, the city in which Redbridge is located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only Redbridge or a building housing Redbridge, Redbridge will transfer the operations to a local site when needed and expect to recover and resume business within as soon as practical. In a disruption affecting the business district, city, or region,

Redbridge will transfer the operations to a site outside of the affected area and recover and resume business within as soon as practical. In either situation, the Redbridge plan to continue in business, transfer operations to the clearing firm if necessary, and notify you through the Redbridge's site and/or mobile application to contact us. If the significant business disruption is so severe that it prevents Redbridge from remaining in business, Redbridge will assure the customer's prompt access to their funds and securities via the respective clearing firm.

For more information – If you have questions about the business continuity planning, you can contact us by email at support@rbsecurities.com or by phone at +1-800-950-5266 or +86-400-707-1292.